

Electronic Acknowledgement Receipt

EFS ID:	5275110
Application Number:	10001900
International Application Number:	
Confirmation Number:	8995
Title of Invention:	Balancing arbitragable tracking securities
First Named Inventor/Applicant Name:	Steven M. Bloom
Customer Number:	26161
Filer:	Denis G. Maloney/Marie Collins
Filer Authorized By:	Denis G. Maloney
Attorney Docket Number:	09857-073001
Receipt Date:	05-MAY-2009
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Application Type:	Utility under 35 USC 111(a)

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Payment was successfully received in RAM	\$1080
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)

1	Reply Brief Filed	replybrief09857-0073001.pdf	537322 B12B5d1be094aef6a5ed24f7511eaf7bc4-4ffed	no	11
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Warnings:

Information:

2	Request for Oral Hearing	reqoralhearing09857-0073001.pdf	27104 c1c660001a50f5402b401c6d279f0e19b02e4-4ffed	no	1
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Warnings:

Information:

3	Fee Worksheet (PTO-875)	fee-info.pdf	30101 B12B5d1be094aef6a5ed24f7511eaf7bc4-4ffed	no	2
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Warnings:

Information:

Total Files Size (in bytes):	594527
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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